

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

ETAS ID: TM441498

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Highland Capital Holding Corporation		08/30/2017	Corporation: DELAWARE
RECEIVING PARTY DATA			
Name:	Highland Capital Brokerage, Inc.		
Street Address:	3535 Grandview Parkway, Suite 600		
City:	Birmingham		
State/Country:	ALABAMA		
Postal Code:	35243		
Entity Type:	Corporation: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	3911820	HIGHCAP FINANCIAL	
CORRESPONDENCE DATA			
Fax Number:	2058743269		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	205-874-0369		
Email:	ljackman@wallacejordan.com		
Correspondent Name:	Laura M. Jackman		
Address Line 1:	800 Shades Creek Parkway, Suite 400		
Address Line 4:	Birmingham, ALABAMA 35209		
NAME OF SUBMITTER:	Laura M. Jackman		
SIGNATURE:	/Laura M. Jackman/		
DATE SIGNED:	08/31/2017		
Total Attachments: 1			
source=Assignment of Registration trademark HighCap Financial 083017#page1.tif			

OP \$40.00 3911820

ASSIGNMENT OF REGISTRATION

WHEREAS, Highland Capital Holding Corporation ("Assignor"), with an address of 3535 Grandview Parkway, Suite 600, Birmingham, Alabama 35243, has adopted, used and is using the service mark, "HighCap Financial", which mark was registered with the United States Patent and Trademark Office ("USPTO") on January 25, 2011 (USPTO Registration No. 3,911,820) (the "Mark");

WHEREAS, Highland Capital Brokerage, Inc. ("Assignee"), with an address of 3535 Grandview Parkway, Suite 600, Birmingham, Alabama 35243, is desirous of acquiring said mark and the registration thereof;

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor does hereby assign to Assignee all right, title and interest in and to the Mark, together with the good will of the business symbolized by the Mark, and the above-identified registration thereof.

Done this 30th day of August, 2017.

Highland Capital Holding Corporation

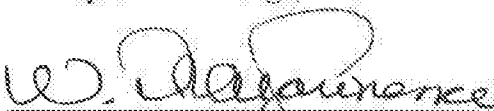
By:


W. Drew Lawrence
Its Vice-President

ACCEPTED AND AGREED:

Highland Capital Brokerage, Inc.

By:


W. Drew Lawrence
Its Vice-President